

PATENT  
Docket No. 449122020500

#6  
B.D.  
9-12-02

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on February 8, 2002.

*Melissa Garton*  
Melissa Garton

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In the application of:

Roland BRUNNER et al.

Serial No.: Not yet assigned

Filing Date: February 8, 2002

For: METHOD AND APPARATUS FOR  
INCREASING THE RESISTANCE TO  
FAILURE OF INFORMATION CENTERS  
CONNECTED TO EXCHANGES

Examiner: Not yet assigned

Group Art Unit: Not yet assigned

**INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR 1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is submitted:

☒ With the application; accordingly, no fee or separate requirements are required.

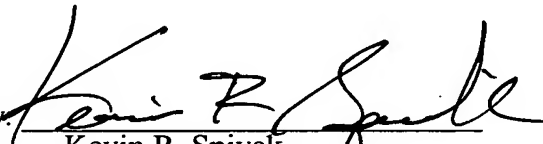
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is to the best of my knowledge and is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **449122020500**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: February 8, 2002

Respectfully submitted,

By:   
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<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>  <i>(Use several sheets if necessary)</i>	<b>Docket Number:</b> <b>449122020500</b>	<b>Application Number:</b> <b>New U.S. Application</b>
<b>Applicant</b> <b>Roland BRUNNER et al.</b>		
<b>Filing Date:</b> <b>February 8, 2002</b>		<b>Group Art Unit:</b> <b>Not yet assigned</b>

**U.S. PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	12/1998	5,848,143	Andrews et al.			
	2.	6/1999	5,910,982	Shaffer et al.			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Translation YES    NO	
	3.	10/1997	EP 0 802 665 A2	EUROPE			X	
	4.	6/1998	WO 98/25418	WIPO			X	
	5.	3/1999	EP 0 903 921 A2	EUROPE			X	
	6.	4/1999	WO 99/17517	WIPO			X	
	7.	6/1998	WO 98/25387	WIPO			X	

**OTHER DOCUMENTS**

*(including author, title, Date, Pertinent Pages, Etc.)*

Examiner Initials	Ref. No.	Title

<b>EXAMINER: (examiner)</b>	<b>DATE CONSIDERED:</b>
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EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.